### PATENT COOPERATION TRE. Y

## **PCT**

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 28185-502-061		FOR FURTHER ACTION See Form PCT/IPEA/416							
International application No. PCT/GB2004/002980			International filing date 09.07.2004	(day/month/year)	Priority date (day/month/year) 12.07.2003				
International Patent Classification (IPC) or national classification and IPC G01T1/24									
Applicant RADIATION WATCH LIMITED et al.									
1.	This report is the Authority under	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.							
2.	This REPORT c	onsists of a total c	of 8 sheets, including	this cover sheet.					
3.	This report is als	so accompanied b	y ANNEXES, compris	ing:					
	a. 🛭 sent to th	e applicant and to	the International Bur	eau) a total of 12 sh	eets, as follows:				
	sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).								
	sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.								
	<ul> <li>b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</li> </ul>								
4.	This report conta	ins indications rel	ating to the following	tems:					
	Box No. I	Basis of the opin	ion						
	☐ Box No. II	Priority							
	☑ Box No. III	Non-establishme	ent of opinion with reg	ard to novelty, invent	ive step and industrial applicability				
	☐ Box No. IV	Lack of unity of i			•				
	⊠ Box No. V	Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement							
	☐ Box No. VI	Certain documer	nts cited						
	☐ Box No. VII		n the international app						
	☐ Box No. VIII	Certain observat	ions on the internation	al application					
Date	Date of submission of the demand			Date of completion o	f this report				
05.0	05.05.2005			30.08.2005					
Nam prelir	e and mailing addres minary examining au	lhority:	I	Authorized Officer	and chas Potentens.				
	D-80298 M	Patent Office Junich		Coda, R					
Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465			6 epmu d	Telephone No. +49 8					
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# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/002980

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This report is bas which is the language international s publication of international p	sed on translations from the original language into the following language, uage of a translation furnished for the purposes of: search (under Rules 12.3 and 23.1(b)) the international application (under Rule 12.4) preliminary examination (under Rules 55.2 and/or 55.3) the international application, this report is based on (replacement sheets which to the receiving Office in response to an invitation under Article 14 are referred to in this						
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☐ publication of ☐ international p  2. With regard to the ele	the international application (under Rule 12.4) preliminary examination (under Rules 55.2 and/or 55.3) preliminary examination (under Rules 55.2 and/or 55.3) prements* of the international application, this report is based on (replacement sheets which to the receiving Office in response to an invitation under Article 14 are referred to in this						
2. With regard to the ele	to the receiving Office in response to an invitation under Article 14 are referred to in this						
nave been furnished t report as "originally fil	Vith regard to the <b>elements*</b> of the international application, this report is based on (replacement sheets which ave been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this eport as "originally filed" and are not annexed to this report):						
Description, Pages							
1-41	as originally filed						
Claims, Numbers							
1-52	as originally filed						
Drawings, Sheets							
1/16-16/16	as originally filed						
☐ a sequence listing	g and/or any related table(s) - see Supplemental Box Relating to Sequence Listing						
3.   The amendments	☐ The amendments have resulted in the cancellation of:						
☐ the description☐ the claims, No.	the description, pages						
☐ the drawings, s	☐ the drawings, sheets/figs						
☐ the sequence I ☐ any table(s) re	☐ the sequence listing (specify): ☐ any table(s) related to sequence listing (specify):						
had not been made, si	had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).						
☐ the description	☐ the description, pages ☐ the claims, Nos.						
the drawings, s	sheets/figs						
☐ the sequence I☐ any table(s) rel	listing (specify): lated to sequence listing (specify):						
	lies, some or all of these sheets may be marked "superseded."						

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/002980

	Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability						
1.	The obv	e questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-vious), or to be industrially applicable have not been examined in respect of:					
		the entire international application,					
	$\boxtimes$	claims Nos. 31, 32, 50, 51					
		because:					
		the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (specify):					
	⊠	the description, claims or drawings (indicate particular elements below) or said claims Nos. 31, 32, 50. 51 are so unclear that no meaningful opinion could be formed (specify):					
		see separate sheet					
		the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.					
		no international search report has been established for the said claims Nos.					
		the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that:					
		the written form		has not been furnished			
				does not comply with the standard			
		the computer readable form		has not been furnished			
				does not comply with the standard			
		the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form or not comply with the technical requirements provided for in Annex C-bis of the Administrative Instruction					
		☐ See separate sheet for further details					

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/002980

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1 to 8, 11, 12, 20 to 30, 33 to 49

No: Claims

9, 10, 13 to 19

Inventive step (IS)

Yes: Claims

1 to 8, 23 to 30, 33 to 49

No: Claims

11, 12, 20 to 22

Industrial applicability (IA)

Yes: Claims No: Claims 1-51

2. Citations and explanations (Rule 70.7):

see separate sheet

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. The subject-matter of claims 9, 10, 13 to 19 is not new (Art. 33(2) PCT).
  - As far as the first and second radiation sense volumes are concerned, it is noted 1.1 that the figure 7 of the application illustrates different areas (1 to 4) linked to different parts of the spectrum. These areas are characterised by individual threshold counting circuits, This configuration is exactly disclosed in the document D1 (WO02/063339 A1). Figure 3B shows a semiconductor pixel detector comprising a plurality of tiled wafer chips 20, each chip having a plurality of pixels with individual photon counters (see page 11, lines 5 to 10). When a pixel absorbs a photon, an electrical signal is generated and readout by the circuit illustrated in figure 5B. In order to obtain accurate images representative of the irradiated subject several latched comparators 64 can be connected in parallel, each with a different threshold level. This would allow a number of absorbed x-rays in each of a range of energy intervals to be simultaneously recorded and considered in determining by image processing the most suitable energy range for providing the most useful image of the subject being irradiated (see page 14, lines 4 to 12). Therefore D1 discloses the possibility of building-up detecting areas responsive to different energy ranges.
  - 1.2 Therefore, with respect to independent claims 9 and 10, the D1 discloses an assembly for monitoring ionising radiation having: a detector substrate for generating electronic charge in response to incident ionising radiation, the detector having an array of sense volumes; a circuit substrate supporting readout circuits corresponding to first and second sense volumes (see page 10, lines 18; page 10, line 24 to page 11, line 10; page 11, lines 25 to 27; page 12, lines 9 to 14; figure 4(23)), wherein each readout circuit includes a photon counting circuitry responsive to events having first and second energy range and able to increment first and second counter respectively (see page 13, line 18 to page 14, line 12). The subject-matter of amended claim 9 and original claim 10 is then not new.

- 1.3 The dependent claims 13 to 19 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty. The threshold circuitry and the semiconductors are disclosed by the documents D1 (see page 10, lines 24 to 28; page 11, lines 21 to 28; page 12, lines 1 to 6; page 13, lines 19 to 28; page 14, lines 1 to 12; page 16, lines 7 to 20; page 17, line 27 to page 18, line 1). Moreover D1 discloses also the bias signal (see page 12, lines 5, 6).
- 2. The dependent claims 11, 12 and 20 to 22 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step (Article 33(3) PCT):
  - <u>claims 11, 12</u>: the use of several detection period is a normal design procedure for the skilled person in order to improve the system reliability;
  - claims 20 to 22: the use of CMOS (see D2 XP4251280 page 87, paragraph 1, right column, line 2) and of the measurements selection is merely one of several straightforward possibilities from which the skilled person would select, without the exercise of inventive skill, in order to improve the system flexibility (see D3 US5812191, column 21, lines 1 to 5).
- 3. Although claims 1, 9, 10, 24 and 26 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought and in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection. Hence, claims 1, 9, 10, 23 and 27 do not meet the requirements of Article 6 PCT.
- 4. Contrary to the requirements of Rule 6.2(a) PCT, claims 31, 32, 50 and 51 rely on reference to the drawings.

### 5. As far as claims 1 to 8, 23 to 30 and 33 to 49 are concerned, the following is noted:

#### 5.1 <u>Technical Field</u>

Monitoring of ionising radiation.

#### 5.2 Novelty (Art. 33(2) PCT)

None of the cited documents discloses readout circuits being switchable between two charge integration modes, the first one arranged to sense a single ionising radiation event and the second one arranged to sense a plurality of ionising radiation events. Therefore the subject-matter of claims 1, 24 and 26 is novel (Article 33(2) PCT).

#### 5.3 Inventive Step (Art. 33(3) PCT)

Document D1 represents the closest prior art.

This document discloses an assembly for monitoring ionising radiation having: a detector substrate for generating electronic charge in response to incident ionising radiation, the detector having an array of sense volumes; a circuit substrate supporting readout circuits corresponding to the sense volumes.

Claims 1 and 24 are distinguished in that each readout circuit is switchable between two charge integration modes, the first one arranged to sense a single ionising radiation event and the second one arranged to sense a plurality of ionising radiation events.

The problem to be solved by the present invention may therefore be regarded as how to provide a device for monitoring ionising radiation with enhanced monitoring, evaluation and analysis capabilities.

Since none of the cited documents hints at including a switch to select a charge integration mode, causing the advantage of improving the assembly monitoring, evaluation and analysis capabilities, claims 1 and 24 meet therefore the requirements of inventive step referred to in Art. 33(3) PCT.

It is noted that the independent method claim 26 corresponds to the independent apparatus claim 1 in that for every structural feature of claim 1 a corresponding method step is defined therein. Therefore also the independent claim 26 meets the requirements of inventive step referred to in Art. 33(3) PCT.

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

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#### 5.4 Industrial Applicability

Without any doubts the application as defined in claims 1 to 8, 23 to 30 and 33 to 49 is industrially applicable.

#### 5.5 <u>Dependent Claims</u>

Claims 2 to 8, 23, 25, 27 to 30 and 33 to 49 are dependent on claims 1, 24 and 26 respectively and as such also meet the requirements of the PCT with respect to novelty and inventive step.